

# PURSHOTTAM INVESTOFIN LIMITED

Regd. Off: L-7, Menz Floor, Greenpark Extension, New Delhi -110016

Ph No. 011-46067802 CIN: L65910DL1988PLC033799 GSTIN: 07AAACD0419K1ZX

Email ID: [purshottaminvestofin@gmail.com](mailto:purshottaminvestofin@gmail.com) Website: [www.purshottaminvestofin.in](http://www.purshottaminvestofin.in)

**Date: 28<sup>th</sup> May, 2026**

**To,**  
**BSE Limited**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street, Fort**  
**Mumbai - 400 001**

**Subject: Annual Secretarial Compliance Report for the Year ended 31st March, 2026**

Dear Sir(s),

Pursuant to Regulation 24A of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, as amended, we are attached herewith the Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2026.

You are requested to take the same on your record.

Thanking You,  
Yours Faithfully,

**For Purshottam Investofin Limited**

**ANKIT**  
**GUPTA**

Digitally signed  
by ANKIT GUPTA  
Date: 2026.05.28  
17:06:11 +05'30'

**Ankit Gupta**  
**Company Secretary and Compliance Officer**



**Secretarial Compliance Report of M/s Purshottam Investofin Limited**  
**for the year ended 31<sup>st</sup> March 2026**

We, **Kundan Agrawal & Associates**, Company Secretaries having **FRN: S2009DE113700** and office at **E-21, Office No. 301, Jawahar Park, Laxmi Nagar, New Delhi-110092193** and have **examined:**

- (a) all the documents and records made available to us and explanation provided by "**Purshottam Investofin Limited**" ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

**For the year ended 31<sup>st</sup> March 2026 ("Review Period") in respect of compliance with the provisions of:**

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; ***(To the extent applicable on the company for the period under review)***
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; ***(Not applicable on the company for the period under review)***
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; ***(To the extent applicable on the company for the period under review)***

- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; ***(Not applicable on the company for the period under review)***
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; ***(Not applicable on the company for the period under review)***
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; ***(Not applicable on the company for the period under review)***
- (g) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 2025, regarding the Companies Act and dealing with client; ***(To the extent applicable on the company for the period under review)***
- (h) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations,2013; ***(Not applicable on the company for the period under review)***
- (i) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021; ***(Not applicable on the company for the period under review)***
- (j) The Securities and Exchange Board of India (Depositories and Participants) Regulations,2018;
- (k) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; ***(To the extent applicable on the company for the period under review)***

and circulars/ guidelines issued thereunder; and based on the above examination, we hereby report that, during the review period:

a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder

b) The listed entity has taken the following actions to comply with the observations made in previous reports: NA

I further report that –

- The Company has complied with the requirements of Structural Digital Data Base in terms of Securities & Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015 including various Circulars issued by SEBI thereunder and Circular(s) issued by BSE Limited dated March 16, 2023.

I/we hereby report that, during the review period the compliance status of the listed entity with the following requirements:



4	<p><b>Disqualification of Director:</b></p> <p>None of the Director of the Company are disqualified under section 164 of the Companies Act, 2013</p>	Yes	NA
5	<p><b>Details related to subsidiaries of Listed Entity have been examined w.r.t.:</b></p> <p>(a) Identification of material subsidiary companies</p> <p>(b) Disclosure requirement of material as well as other subsidiaries.</p>	NA  NA	There is no subsidiary of the company.
6	<p><b>Preservation of Documents:</b></p> <p>The Listed Entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of preservation of Documents and Archival Policy prescribed under SEBI LODR Regulations, 2015</p>	Yes	NA
7	<p><b>Performance Evaluation:</b></p> <p>The Listed Entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in the SEBI Regulations.</p>	Yes	NA
8	<p><b>Related Party Transactions:</b></p> <p>(a) The Listed Entity has obtained prior approval of Audit Committee for all Related Party Transactions.</p>	Yes	NA
9	<p><b>Disclosure of events or information:</b></p>		

	The Listed Entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	NA
10	<b>Prohibition of Insider Trading</b>  The Listed Entity is in compliance with Regulation 3(5) and 3(6) SEBI (Prohibition and Insider Trading) Regulations, 2015.	Yes	NA
11	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b>  No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	No	No such actions were taken up
11.	<b>Resignation of statutory auditors from the listed entity or its material subsidiaries:</b>	NA	As there was no event for resignation of statutory Auditor during the period under review
12.	<b>Additional Non-Compliances, if any:</b> No any additional non-compliance observed for all SEBI regulatory/circular/guidance note etc.	NA	NA

We further, report that the listed entity is in compliance with the disclosure requirements of Employee Benefit Scheme Documents in terms of regulation 46(2) (za) of the LODR Regulations.- **Not Applicable**

**(a (\*\*)) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:**

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	NIL									

**(b) The listed entity has taken the following actions to comply with the observations made in previous reports:**

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	NIL									

**For Kundan Agrawal & Associates  
Company Secretaries**

**Place: New Delhi  
Date: 28/05/2026**

  
**Kundan Agrawal**  
**Company Secretary**  
**Membership No. 7631**  
**C.P. No. 8325**  
**UDIN: F007631H000519582**  
**Peer review Certificate No.:- 5704/2024**